

RECOMMENDATION TRACKING REPORT Q2 2022/23

Audit Title	Date Final Issued	Date of Follow up	Assurance Rating	Recommendations								Total Recs Acc'd	Total Recs Imp'd	Total Rec Not Imp'd*	Comments
				HR		MR		LR		GP					
				A	I	A	I	A	I	A	I				
Trade Refuse & Skip Hire	14/10/20	31/08/22	Substantial	0	0	4	4	8	7	1	1	13	12	1	2.1.3 The delays are due to ICT not being able to support the procurement / installation of a new system. The service area has raised a corporate risk due to delays in the improvements. They are progressing as quickly as possible, though at a slow timescale dictated by ICT input.
Learning Disability Recharges	16/09/21	27/07/22	Substantial	0	0	3	3	4	4	0	0	7	7	0	
Rights of Way (now called Countryside Access Team)	29/09/21	28/07/22	High	0	0	0	0	4	4	1	1	5	5	0	
Music Service	30/09/21	26/08/22	Substantial	0	0	1	1	0	0	2	2	3	3	0	
Archives	09/12/21	28/07/22	Substantial	0	0	4	3	5	4	0	0	9	7	2	2.10.1 - Archives have no budget for a comprehensive revaluation of the collection. 2.14.2 Archives have filled in the checklist as requested but a conversation around these checklists needs to take place as in this case Kim just sent it to himself as DPO
sQuid School Meal Income (Thematic)	30/03/22	28/07/22	Substantial	0	0	2	2	11	11	1	1	14	14	0	
St Helen's Ground	26/04/22	02/08/22	Substantial	0	0	2	2	1	1	0	0	3	3	0	

RECOMMENDATION TRACKING REPORT Q2 2022/23

Communications & Public Relations Team	19/05/22	06/09/22	High	0	0	0	0	3	3	0	0	3	3	0	
Burials and Cremations - Swansea Crematorium.	19/05/22	06/09/22	Substantial	0	0	0	0	9	8	0	0	9	8	1	2.9.1 a) GDPR training – this is part completed as we have had unprecedented absences/illness etc; but I will send a reminder to mop up the outstanding few.
Land Searches	17/06/21	22/07/22	High	0	0	0	0	1	1	2	1	3	2	1	2.4.2 - The business continuity plan is still currently in progress to be completed.
Transport Depot	25/05/22	08/09/22	Substantial	0	0	0	0	7	7	3	3	10	10	0	
Education - Review of Contracts	10/05/22	07/09/22	Substantial	0	0	1	1	0	0	0	0	1	1	0	
Clydach - Plant Hire	03/09/21	08/09/22	Substantial	0	0	1	1	2	2	4	4	7	7	0	
Behaviour Support Team (Prev Behaviour & Learning Support)	19/10/21	08/09/22	Substantial	0	0	1	1	6	6	4	4	11	11	0	
Tourism & Marketing	24/05/22	08/09/22	High	0	0	0	0	5	4	1	1	6	5	1	2.3.5 - A monthly review of the Accounts Receivable system will be carried out to monitor and chase unpaid invoices in September.
Disaster Recovery & Business Continuity	21/03/22	09/09/22	Substantial	0	0	3	3	5	4	2	2	10	9	1	(2.2.6 /2.3.2 b). IT are in the planning stage of building a new data centre in the Guildhall so until this is complete. Its difficult to achieve the recommendation of identifying the costs of another recovery site.

RECOMMENDATION TRACKING REPORT Q2 2022/23

Swansea Children's' Centre & Mayhill Family Centre	30/06/22	13/09/22	Substantial	0	0	2	2	4	3	1	1	7	6	1	2.9.2 - The taking home of vehicles should be approved by the HOS with the employee paying the required contribution. Cari has completed the form on line but have had no further comms as to whether this has been resolved.
ICT Administration (now to Inc. IT Assets)	08/06/22	14/09/22	Substantial	0	0	1	1	3	3	0	0	4	4	0	
Blue Badges	16/06/22	28/09/22	Substantial	0	0	2	2	0	0	1	1	3	3	0	
				0	0	27	26	78	72	23	22	128	120	8	93.8%

*Further details on the recommendations that have not been implemented are reported in Appendix 2

Key

HR - High Risk. **MR** - Medium Risk. **LR** - Low Risk. **GP** - Good Practice.

A - Accepted. **I** - Implemented